

Note 4

Accounting entanglements \$169.26 and \$20.00

DISBURSEMENTS

Item	Ckno	
1 Colonial Emerg Phys (med bill)	1	10.40 ✓
VOID	2	
2 Fairfax Circ Ct. letters	3	14.00 ✓
3 Jean M. Nader probate tax reimb	4	1,269.00 ✓
4 NationsBank Car loan payoff	5	1,364.97 ✓
5 Checks		15.89 ✓
6 Jean Nader int on Hallmark acct while dep in estate acct	7	270.82 ¹ ✓
7 Commissioner of accounts Inventory	8	61.00 ✓
8 IRS 1991 1040 return	9	15,332.00 ✓
9 Va. Dept Tax 1991 return	10	2,856.00 ✓
10 Jean M. Nader, disb	11	75,000.00 ✓
11 Jean M. Nader, bills pd SHE IS OWED 30 ^{cents}	12	8,559.00 ✓
12 Sheila Ann O'Connell-Shevenell, disb	13	75,000.00 ✓
13 Sheila Ann O'Connell-Shevenell, cem bill	14	475.00 ✓
14 Anthony M. O'Connell, disb	15	75,000.00 ✓
15 Anthony M. O'Connell int/disb 4/22-5/20	16	230.14 ² ✓
16 A. G. Edwards legal charge (debit fm div)		40.00 ✓
17 IRS estimated Estate Tax	17	119,000.00 ³ ✓
18 Virginia estimated Estate Tax	18	31,000.00 ³ ✓
19 IRS 1991 income tax	19	28,334.00 ✓
20 Va Dept Tax 1991 amended return	21	5,712.00 ✓
21 National Fire Ins Co of Hartford Nuveen bond	22	169.26 ⁴ ✓
22 U. S. Trust processing fee	23	20.00 ⁴ ✓
23 Harold O'Connell Trust, appraisal	24	2,000.00 ⁴ ✓
24 Jean M. Nader, disbursement	101	33,000.00 ✓
25 Anthony M. O'Connell, disbursement	102	33,000.00 ✓
26 Sheila Ann O'Connell-Shevenell, dis	103	33,000.00 ✓
27 IRS est share of int due 91 amd tax	104	241.81 ⁶ ✓
28 1988 Plymouth Van to Anthony O'Connell		8,000.00 ✓
TOTAL DISBURSED		548,975.29 ✓

BK0467 0193
NUEVEN
ETC.

chers in support of these disbursements are submitted herewith.

NOTES:

1. Decedent had a POD account in Hallmark Bank with Jean Nader. The bank erroneously paid the amount to the estate. This figure is the interest earned on that sum while in the estate account.
2. This represents interest earned in the estate account on the amount of the disbursement while the disbursal was delayed. This is to equalize the disbursements among the legatees.
3. Estimated tax was paid with an extension request.

4. Expenses incurred due to lost Nuveen certificate of ownership.

AFFIDAVIT OF DOMICILE

(One affidavit required at time of transfer for the stock/bond of each company)

STATE OF VIRGINIA)
CITY)
~~COUNTY~~ OF ALEXANDRIA) ss:

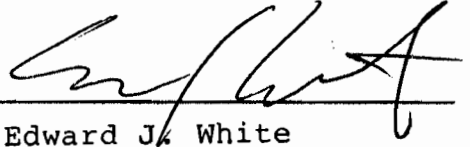
The undersigned Edward J. White, residing at 312 North Royal St.
Alexandria, Va. being duly sworn, deposes and

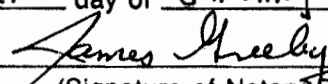
says:

that I am Co-Executor { Executor/trix, Administrator/trix,
Personal Representative, Trustee,
Surviving Joint Tenant
of (the estate/trust of) Jean M. O'Connell who died on Sept. 15, 1991;
that at the time of death the domicile (legal residence) of the decedent was at: 3440 S. Jefferson
Street, Falls Church, Va.

and that (s)he resided in the State of Virginia for 25+ years prior to
death and was not a resident of any(other) state within the United States of America at the time of death.

This affidavit is made for the purpose of having securities, bonds, funds and monies transferred.

SIGNATURE 
Edward J. White
Co-Executor

Sworn to and subscribed before me this
21ST day of JANUARY, 1992

(Signature of Notary Public)

My commission expires February 28, 1992

AFFIX SEAL

AFFIDAVIT OF DOMICILE

(One affidavit required at time of transfer for the stock/bond of each company)

STATE OF PENNSYLVANIA)
COUNTY OF _____) ss:

The undersigned Jean M. Nader, residing at 350 Fourth Ave.,
New Kensington, Pa. 15068 being duly sworn, deposes and

says:

that I am Co-Executor

{ Executor/trix, Administrator/trix,
Personal Representative, Trustee,
Surviving Joint Tenant

of (the estate/trust of) Jean M. O'Connell who died on Sept. 15, 1991;

that at the time of death the domicile (legal residence) of the decedent was at: 3440 S. Jefferson
Street, Falls Church, Va.

and that (s)he resided in the State of Virginia for 25+ years prior to
death and was not a resident of any(other) state within the United States of America at the time of death.

This affidavit is made for the purpose of having securities, bonds, funds and monies transferred.

SIGNATURE Jean M. Nader
Jean M. Nader
Co-Executor

Sworn to and subscribed before me this
24th day of January, 1992

Janet L. Mears
(Signature of Notary Public)

My commission expires _____

AFFIX SEAL

NOTARIAL SEAL
JANET L. MEARS, NOTARY PUBLIC
TARENTUM BORO, ALLEGHENY COUNTY
MY COMMISSION EXPIRES FEB. 22, 1993

Member, Pennsylvania Association of Notaries

NAME AFFIDAVIT

STATE OF PENNSYLVANIA

COUNTY OF Westmoreland,

I Jean M. Nader, being first duly sworn, state that I am one and the same person as Jean Mary O'Connell-Nader.

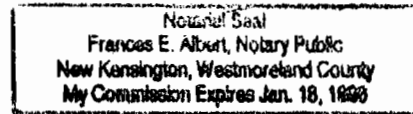
Jean M. Nader
Jean M. Nader

Subscribed and sworn to before me this 6th day of February, 1992, by Jean M. Nader.

Frances E. Albert
Notary Public

My Commission expires:

1-18-93



Member Pennsylvania Association of Notaries

EDWARD J. WHITE
ATTORNEY AT LAW
118 SOUTH ROYAL STREET
ALEXANDRIA, VIRGINIA 22314
TELEPHONE 836-5444

February 27, 1992

1992 MAR -2 A 10: 10

U.S. TRUST COMPANY OF NY
111 E.O. SUPPORT-6

Nuveen Unit Transfer Dept.
P.O. Box 836
Cooper Station
New York, N.Y. 10003

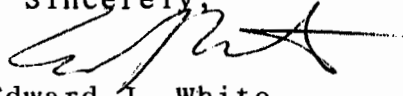
Re: Account #OCONNE2JNA4M000
Premium Income Municipal Fund
Jean M. O'Connell

We are the Co-Executors of the estate of Jean M. O'Connell who died on September 15, 1991.

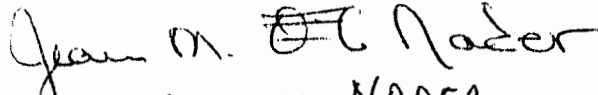
the estate of Jean M. O'Connell
You are requested to transfer the entirety of this account to A. G. Edwards & Sons, Inc., c/o Allison May, 524 King Street, Alexandria, Va. 22314.

Enclosed is a W-9 form, a name affidavit and a current letter of appointment.

Sincerely,



Edward J. White



Jean M. O'Connell NADER

Co-Executors, Estate of
Jean M. O'Connell

EJW/e

Title of new account:

Edward J. White & Jean M. Nader

Co-executors of the estate of Jean M. O'Connell

118 S. Royal St.

Alexandria, Va. 22314

ID # 25-637-7917

SIGNATURE GUARANTEED
A. G. EDWARDS & SONS, INC.

Shirley M. McDaniel
Authorized Signature

(AUTHORIZING RESOLUTIONS FILED
WITH NEW YORK STOCK EXCHANGE)



COMMONWEALTH OF VIRGINIA

Circuit Court of Fairfax County



CERTIFICATE OF QUALIFICATION

State of Virginia

County of Fairfax, to-wit:

~~Law~~/Fiduciary No. 49160

I, JOHN T. FREY, Clerk of the Circuit Court of the County of Fairfax, Virginia, the same being a Court of Probate and of Record and having a seal, do hereby certify that it appears of record in my office pursuant to law that EDWARD J. WHITE & JEAN MARY O'CONNELL-NADER

have been duly appointed CO-EXECUTORS for the estate of: JEAN M. O'CONNELL

and that they have duly qualified as such by taking the oath prescribed by law and by entering into and acknowledging a bond in the penalty of One million seven hundred twenty-four thousand Dollars, with/without surety.

I further certify that the said appointment and qualification is still in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF I have hereunto set my hand, and affixed the seal of said Court hereto, at Fairfax, Virginia this 2nd day of July 1992.

JOHN T. FREY, CLERK

By [Signature] Deputy Clerk

EDWARD J. WHITE
ATTORNEY AT LAW
118 SOUTH ROYAL STREET
ALEXANDRIA, VIRGINIA 22314
—
TELEPHONE 836-5444

July 12, 1992

Ms. Rosemay Charles
Customer Service Representative
U. S. Trust
770 Broadway
New York, N.Y. 10003-9598

Re: Nuveen Premium Income Municipal Fund Inc.
Exchange Traded Fund
Ctf# U-22018 @700 Units
R/N/O Jean M. O'Connell

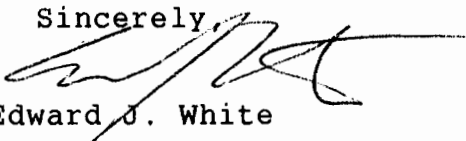
Dear Ms. Charles,

In accordance with your letter of May 29, 1992, I am enclosing a check in the amount of \$169.26 payable to National Fire Insurance Company of Hartford, a check for \$20.00 to U. S. Trust, affidavits of loss signed by each Co-Executor, a certified Letter of Appointment dated July 2, 1992, an affidavit of domicile signed by each Co-Executor, my original letter of instructions with signature guaranteed, a stock power and an affidavit stating that Jean M. O'Connell Nader and Jean M. Nader are one and the same person.

Please transfer this account in accordance with the letter of instructions.

I note that the affidavit of loss indicates that this account has a value of \$18,284. While I assume this is as of the date you prepared it, my research from the newspaper indicates that on September 14, 1991, the day before Mrs. O'Connell died, there was a bid price of 8.99 and an asked price of 9.44 for an average of 9.215. Accordingly I valued this at \$6450.00. Please let me know if this is correct.

Sincerely,



Edward J. White

EJW/e

Bond 9273300

AFFIDAVIT OF LOSS

Exhibit B

(page 1 of 2)

STATE OF VIRGINIA)
CITY OF ALEXANDRIA) SS:
COUNTY OF)

The undersigned (hereinafter called "Deponent"), being duly sworn, deposes and says that:

1) Deponent is an adult whose mailing address is Estate of Jean M. O'Connell c/o Edward J. White, 118 South Royal St., Alexandria, Va 22314 and is the owner of or is acting in a representative or fiduciary capacity with respect to certain securities (describe type of security, identification number, and number of shares or face value): NUVEEN PREMIUM INCOME MUNICIPAL FUND INC EXCHANGE TRADED FUND Clf.#N/A @ N/A units, (Value: 11,284.00), issued by United States Trust Company of New York: (hereinafter called the "Issuing Corporation") and registered in the name of: JEAN M OCONNELL

2) Deponent further says that the aforesaid security or securities (hereinafter called the "Original", whether one or more") have been lost, stolen, destroyed or misplaced under the following circumstances:

3) That said Original (was-was not) endorsed. (If endorsed, describe form of endorsement and state whether signature was guaranteed)

4) Deponent has made or caused to be made diligent search for Original, and has been unable to find or recover same, and that Deponent was the unconditional owner of Original at the time of loss, and is entitled to the full and exclusive possession thereof; that neither the Original nor the rights of the Deponent therein have, in whole or in part, been assigned, transferred, hypothecated, pledged or otherwise disposed of, in any manner whatsoever, and that no person, firm or corporation other than Deponent has any right, title, claim, equity or interest in, to, or respecting Original or the proceeds thereof, except as may be set forth in Statement (5) following.

5) If Deponent's interest in the Original is in a representative or fiduciary capacity, indicate below, the designation of such capacity, i.e., administrator, executor, etc., and the title of the estate, as follows:

Deponent is Co-Executor of the estate of Jean M. O'Connell

(Specify names of any other persons having an interest in the Original. List them below and indicate the nature of their interest, such as heir, legatee, etc.)

NAME INTEREST

(Each heir, legatee, etc., having an interest in the Original, must execute Agreement of Indemnity)

6) Deponent makes this affidavit for the purpose of requesting and inducing the Issuing Corporation and its agents to issue new securities in substitution for the Original and NATIONAL FIRE INSURANCE COMPANY OF HARTFORD to assume liability in respect thereof under its Indemnity Bond.

7) Deponent agrees that if said Original should ever come into Deponent's hands, custody or power, Deponent will immediately and without consideration surrender Original to issuing corporation, its transfer agents, subscription agents, trustees or NATIONAL FIRE INSURANCE COMPANY OF HARTFORD for cancellation.

8) And in consideration of NATIONAL FIRE INSURANCE COMPANY of HARTFORD assuming liability or liability attaching under its Idemnity Bond in favor of the issuing corporation and its agents, the undersigned, (jointly and severally, if more than one), hereby agrees at all times to indemnify and save harmless NATIONAL FIRE INSURANCE COMPANY of HARTFORD from and against any and all liabilities, losses, damages, judgements, costs, charges, counsel fees and expenses of every nature and character which they may sustain or incur by reason or on account of assuming liability or liability assuming under its Indemnity Bond.

9) Signed, sealed, and dated: July 13 1992

Sworn to and subscribed before me

this 13th day of July 1992

ESTATE OF JEAN M. O'CONNELL

[Handwritten Signature]
Signature of Deponent

Edward J. White, Co-Executor

Signature of Deponent

[Notary Seal]
[Handwritten Signature: Marcella Stangas]
NOTARY PUBLIC
(Affix Notarial Seal)

My Commission expires: January 31, 1994

Sworn to and subscribed before me

this 13th day of July 1992

Signature of Heir (if applicable)

Signature of Heir (if applicable)

NOTARY PUBLIC
(Affix Notarial Seal)

My Commission expires:

Bond 9273300

AFFIDAVIT OF LOSS

Exhibit B

(page 1 of 2)

STATE OF PENNSYLVANIA)
)
COUNTY OF WESTMORELAND)

SS:

The undersigned (hereinafter called "Deponent"), being duly sworn, deposes and says that:

virginia 22314

1) Deponent is an adult whose mailing address is Estate of Jean M. O'Connell, c/o Edward J. White, 118 South Royal St., Alexandria/ and is the owner of or is acting in a representative or fiduciary capacity with respect to certain securities (describe type of security, identification number, and number of shares or face value):
NUVEEN PREMIUM INCOME MUNICIPAL FUND INC EXCHANGE TRADED FUND
Ctf.# N/A @ N/A units, (Value: 11,284.00), issued by United States Trust Company of New York: (hereinafter called the "Issuing Corporation") and registered in the name of: JEAN M OCONNELL

2) Deponent further says that the aforesaid security or securities (hereinafter called the "Original", whether one or more") have been lost, stolen, destroyed or misplaced under the following circumstances:

3) That said Original (was-was not) endorsed. (If endorsed, describe form of endorsement and state whether signature was guaranteed)

4) Deponent has made or caused to be made diligent search for Original, and has been unable to find or recover same, and that Deponent was the unconditional owner of Original at the time of loss, and is entitled to the full and exclusive possession thereof; that neither the Original nor the rights of the Deponent therein have, in whole or in part, been assigned, transferred, hypothecated, pledged or otherwise disposed of, in any manner whatsoever, and that no person, firm or corporation other than Deponent has any right, title, claim, equity or interest in, to, or respecting Original or the proceeds thereof, except as may be set forth in Statement (5) following.

5) If Deponent's interest in the Original is in a representative or fiduciary capacity, indicate below, the designation of such capacity, i.e., administrator, executor, etc., and the title of the estate, as follows:

Deponent is Co-Executor of the estate of Jean M. O'Connell

(Specify names of any other persons having an interest in the Original. List them below and indicate the nature of their interest, such as heir, legatee, etc.)

NAME INTEREST

(Each heir, legatee, etc., having an interest in the Original, must execute Agreement of Indemnity)

6) Deponent makes this affidavit for the purpose of requesting and inducing the Issuing Corporation and its agents to issue new securities in substitution for the Original and NATIONAL FIRE INSURANCE COMPANY OF HARTFORD to assume liability in respect thereof under its Indemnity Bond.

7) Deponent agrees that if said Original should ever come into Deponent's hands, custody or power, Deponent will immediately and without consideration surrender Original to issuing corporation, its transfer agents, subscription agents, trustees or NATIONAL FIRE INSURANCE COMPANY of HARTFORD for cancellation.

8) And in consideration of NATIONAL FIRE INSURANCE COMPANY of HARTFORD assuming liability or liability attaching under its Idemnity Bond in favor of the issuing corporation and its agents, the undersigned, (jointly and severally, if more than one), hereby agrees at all times to indemnify and save harmless NATIONAL FIRE INSURANCE COMPANY of HARTFORD from and against any and all liabilities, losses, damages, judgements, costs, charges, counsel fees and expenses of every nature and character which they may sustain or incur by reason or on account of assuming liability or liability assuming under its Indemnity Bond.

9) Signed, sealed, and dated: July 19 92

Sworn to and subscribed before me

this 15th day of July 1992

ESTATE OF JEAN M. O'CONNELL

Jean M. O'Connell Nader
Signature of Deponent

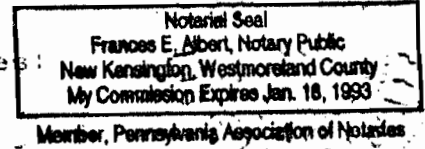
Jean M. O'Connell Nader, Co-Executor

Signature of Deponent

Frances E. Albert

NOTARY PUBLIC
(Affix Notorial Seal)

My Commission expires:



Sworn to and subscribed before me

this _____ day of _____ 19__

Signature of Heir (if applicable)

Signature of Heir (if applicable)

NOTARY PUBLIC
(Affix Notorial Seal)

My Commission expires:

Stock or Bond Power

Can we expose the document trails for the following “Stock or Bond Power”?

Can we expose the document trails for this "Stock or bond power"

STOCK OR BOND POWER

- ISPLG Legal Transfer
ISPMF Mutual Funds
ISPRE Reorganization
ISPNC Not Clear Box
ISPCG Cage

Account Number _____ Attn: _____

FOR VALUE RECEIVED, the undersigned does (do) hereby sell, assign and transfer to

(Name)

(Address)

(City) (State) (ZC) (Social Security or Taxpayer Identifying No.)

IF STOCK, COMPLETE THIS PORTION

(Number) shares of the _____ stock of _____
(Type) (Company)
represented by Certificate(s) No(s). _____ inclusive standing
(Number)
in the name of the undersigned on the books of said Company.

IF BONDS, COMPLETE THIS PORTION

(Number) bonds of _____
(Name)
in the principal amount of \$ _____, No(s). _____ inclusive standing
(Amount) (Number)
in the name of the undersigned on the books of said Company.

The undersigned does (do) hereby irrevocably constitute and appoint _____
(Name)
attorney to transfer the said stock or bond(s), as the case may be, on the books of said Company,
with full power of substitution in the premises.

Dated: _____

X _____
(Signature)

X _____
(Person(s) Executing This Power Sign(s) Here)

AGE 61-9/90

CO-EXECUTORS

IMPORTANT - READ CAREFULLY

The signature of this Power must correspond with the name(s) as written upon the face of the certificate(s) or bond(s) in every particular without alteration or enlargement or any change...